

# THREE-DIMENSIONAL SOLVSOLITONS AND THE MINIMALITY OF THE CORRESPONDING SUBMANIFOLDS

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**ABSTRACT.** In this paper, we define the corresponding submanifolds to left-invariant Riemannian metrics on Lie groups, and study the following question: does a distinguished left-invariant Riemannian metric on a Lie group correspond to a distinguished submanifold? As a result, we prove that the solvsolitons on three-dimensional simply-connected solvable Lie groups are completely characterized by the minimality of the corresponding submanifolds.

## 1. INTRODUCTION

**1.1. Solvsolitons.** Lie groups with left-invariant Riemannian metrics provide a lot of concrete examples of distinguished Riemannian metrics, such as Einstein metrics and Ricci solitons. Recently, such distinguished left-invariant Riemannian metrics have been studied very actively (see, for instance, [4, 7, 9, 10, 13, 15, 16, 17, 18, 20, 21, 23, 24, 25, 26, 27]).

In this paper, we treat solvsolitons as distinguished left-invariant Riemannian metrics. Recall that a left-invariant Riemannian metric  $\langle \cdot, \cdot \rangle$  on a simply-connected solvable Lie group  $G$  is called a *solvsoliton* if the Ricci operator satisfies

$$(1.1) \quad \text{Ric}_{\langle \cdot, \cdot \rangle} = cI + D \quad (\text{for some } c \in \mathbb{R} \text{ and } D \in \text{Der}(\mathfrak{g})).$$

A solvsoliton on  $G$  is called a *nilsoliton* if  $G$  is nilpotent. Solvsolitons have been introduced by Lauret ([17]), and play a key role in the study of homogeneous Ricci solitons. In particular, every solvsoliton on a simply-connected solvable Lie group is a Ricci soliton ([17]), and every left-invariant Ricci soliton on a solvable Lie group is isometric to a solvsoliton ([10]).

In the study of solvsolitons, including left-invariant Einstein metrics on solvable Lie groups, the tools from geometric invariant theory have played very important roles. Among others, Lauret ([17]) obtained structural and uniqueness results for solvsolitons. It enables to classify solvsolitons in low-dimensional cases ([17, 27]). For further information, we refer to [15] and references therein.

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**1.2. An approach from the submanifold theory.** In this paper, we propose a new framework for studying distinguished left-invariant Riemannian metrics, such as solvsolitons, in terms of the group actions on and the submanifold theory in noncompact symmetric spaces. This paper only concerns simply-connected solvable Lie groups of dimension three, but here we formulate our framework in a general way.

Let  $G$  be a Lie group and  $\mathfrak{g}$  be the Lie algebra of  $G$ . Consider the set of all left-invariant Riemannian metrics on  $G$ , which can be identified with

$$(1.2) \quad \widetilde{\mathfrak{M}} := \{ \langle \cdot, \cdot \rangle \mid \text{an inner product on } \mathfrak{g} \} \cong \mathrm{GL}_n(\mathbb{R})/\mathrm{O}(n),$$

where  $n = \dim G$ . Throughout this paper, this space is assumed to be endowed with the natural  $\mathrm{GL}_n(\mathbb{R})$ -invariant Riemannian metric (see Subsection 2.1), and hence is a noncompact symmetric space. Let us consider the actions of

$$(1.3) \quad \mathbb{R}^\times \mathrm{Aut}(\mathfrak{g}) := \{ c\varphi \in \mathrm{GL}_n(\mathbb{R}) \mid c \in \mathbb{R}^\times, \varphi \in \mathrm{Aut}(\mathfrak{g}) \}$$

on  $\widetilde{\mathfrak{M}} = \mathrm{GL}_n(\mathbb{R})/\mathrm{O}(n)$ . Note that  $\mathbb{R}^\times$  denotes the set of nonzero scalar maps on  $\mathfrak{g}$ , and  $\mathrm{Aut}(\mathfrak{g})$  the automorphism group. The group  $\mathbb{R}^\times \mathrm{Aut}(\mathfrak{g})$  comes from the equivalence relation “isometry up to scaling” in the Lie algebra level (see Definition 2.1). Denote its equivalence class by  $[\cdot]$ . Then, for each inner product  $\langle \cdot, \cdot \rangle$ , it follows from [11] that

$$(1.4) \quad [\langle \cdot, \cdot \rangle] = \mathbb{R}^\times \mathrm{Aut}(\mathfrak{g}).\langle \cdot, \cdot \rangle,$$

which we call *the corresponding submanifold* to  $\langle \cdot, \cdot \rangle$ . An important point is that the Riemannian geometric properties of  $\langle \cdot, \cdot \rangle$  are preserved by isometry and scaling. Thus we can regard properties of left-invariant Riemannian metrics as properties of the corresponding submanifolds. Therefore, it would be natural to ask the following:

**Question.** *Does a distinguished left-invariant Riemannian metric correspond to a distinguished submanifold?*

If an answer for this question is positive, then the approach from the corresponding submanifolds would possibly be useful for the study of left-invariant metrics. For example, the existence and nonexistence problem of distinguished left-invariant Riemannian metrics on  $G$  can be translated to the problem of the  $\mathbb{R}^\times \mathrm{Aut}(\mathfrak{g})$ -action, that is, the existence and nonexistence of distinguished orbits.

**1.3. Results of this paper.** Let  $G$  be a three-dimensional simply-connected solvable Lie group with Lie algebra  $\mathfrak{g}$ . In this paper, we present that there is a good relationship between the existence of solvsolitons on  $G$  and geometric aspects of the corresponding action of  $\mathbb{R}^\times \mathrm{Aut}(\mathfrak{g})$  on  $\mathrm{GL}_3(\mathbb{R})/\mathrm{O}(3)$ . We will see this by using the classification of three-dimensional solvable Lie algebras ([2]), which is summarized in Table 1.3. Note that Table 1.3 contains a decomposable one,  $\mathfrak{r}_{3,0}$ , and our results are true for both decomposable and indecomposable cases.

| Name                  | Non-zero commutation relation                                       |           |
|-----------------------|---|-----------|
| $\mathfrak{h}_3$      | $[e_1, e_2] = e_3$  | Nilpotent |
| $\mathfrak{r}_3$      | $[e_1, e_2] = e_2 + e_3, [e_1, e_3] = e_3$                          | Solvable  |
| $\mathfrak{r}_{3,a}$  | $[e_1, e_2] = e_2, [e_1, e_3] = ae_3 \quad (-1 \leq a \leq 1)$      | Solvable  |
| $\mathfrak{r}'_{3,a}$ | $[e_1, e_2] = ae_2 - e_3, [e_1, e_3] = e_2 + ae_3 \quad (a \geq 0)$ | Solvable  |

TABLE 1. Three-dimensional solvable Lie algebras

We recall that, for an isometric action on a Riemannian manifold, orbits of maximal dimension are said to be *regular*, and other orbits *singular*. An action is said to be of *cohomogeneity one* if the regular orbits have codimension one. Then, the good relationship we obtain can be summarized as follows.

- Let  $\mathfrak{g} = \mathfrak{h}_3$  or  $\mathfrak{r}_{3,1}$ . Then,  $\mathbb{R}^\times \text{Aut}(\mathfrak{g})$  acts transitively on  $\widetilde{\mathfrak{M}}$ , and hence there is the only one orbit. The left-invariant Riemannian metric on  $G$  is unique up to isometry and scaling, and the metric is a solvsoliton (nilsoliton for  $\mathfrak{h}_3$ , and Einstein for  $\mathfrak{r}_{3,1}$ ).
- Let  $\mathfrak{g} = \mathfrak{r}_3$ . Then, the action of  $\mathbb{R}^\times \text{Aut}(\mathfrak{g})$  is of cohomogeneity one, and all orbits are regular. Furthermore, all orbits are isometrically congruent to each other (namely there are no distinguished orbits). On the other hand,  $G$  does not admit a solvsoliton.
- Let  $\mathfrak{g} = \mathfrak{r}_{3,a}$  ( $-1 \leq a < 1$ ). Then, the action of  $\mathbb{R}^\times \text{Aut}(\mathfrak{g})$  is of cohomogeneity one, and all orbits are regular. This action has the unique minimal orbit. On the other hand,  $G$  admits a solvsoliton, whose corresponding submanifold coincides with this minimal orbit.
- Let  $\mathfrak{g} = \mathfrak{r}'_{3,a}$  ( $a \geq 0$ ). Then, the action of  $\mathbb{R}^\times \text{Aut}(\mathfrak{g})$  is of cohomogeneity one, and has the unique singular orbit. On the other hand,  $G$  admits a left-invariant Einstein metric, whose corresponding submanifold coincides with this singular orbit.

By studying the geometry of  $\mathbb{R}^\times \text{Aut}(\mathfrak{g})$ -orbits in more detail, we obtain a positive answer to the above mentioned Question for three-dimensional solvsolitons. Namely, three-dimensional solvsolitons can completely be characterized by the minimality of the corresponding submanifold.

**Main Theorem.** *Let  $G$  be a three-dimensional simply-connected solvable Lie group, and  $\langle, \rangle$  be a left-invariant Riemannian metric on  $G$ . Then,  $\langle, \rangle$  is a solvsoliton if and only if the corresponding submanifold  $[\langle, \rangle]$  is a minimal submanifold in  $\widetilde{\mathfrak{M}}$  with respect to the natural  $\text{GL}_3(\mathbb{R})$ -invariant Riemannian metric.*

This paper is organized as follows. In Section 2, we recall the necessary background on the corresponding submanifolds  $[\langle, \rangle]$  to left-invariant Riemannian metrics  $\langle, \rangle$  on Lie groups. In Section 3, for each three-dimensional solvable Lie algebra  $\mathfrak{g}$ , we study the orbit space of the action of  $\mathbb{R}^\times \text{Aut}(\mathfrak{g})$ . Expressions of the

orbit spaces will be used in both Sections 4 and 5. In Section 4, we study three-dimensional solvsolitons. In particular, we obtain the “Milnor-type theorems” for each  $\mathfrak{g}$ , and apply them to the reclassification of three-dimensional solvsolitons. In Section 5, we study the actions of  $\mathbb{R}^\times \text{Aut}(\mathfrak{g})$ . The results of Sections 4 and 5 provide the proof of our Main Theorem.

## 2. THE CORRESPONDING SUBMANIFOLDS

In this section, we define the notion of the corresponding submanifolds to left-invariant Riemannian metrics on Lie groups. This gives a correspondence between left-invariant Riemannian metrics and  $\mathbb{R}^\times \text{Aut}(\mathfrak{g})$ -homogeneous submanifolds.

**2.1. The space of left-invariant metrics.** First of all, we recall the space of left-invariant Riemannian metrics, which will be the ambient space of the corresponding submanifolds. We refer to [11].

Let  $G$  be an  $n$ -dimensional simply-connected Lie group, and  $\mathfrak{g}$  be the Lie algebra of  $G$ . We consider the set of all left-invariant Riemannian metrics on  $G$ , which can naturally be identified with

$$(2.1) \quad \widetilde{\mathfrak{M}} := \{ \langle \cdot, \cdot \rangle \mid \text{an inner product on } \mathfrak{g} \}.$$

We identify  $\mathfrak{g}$  with  $\mathbb{R}^n$  as vector spaces from now on. Then, since  $\text{GL}_n(\mathbb{R})$  acts transitively on  $\widetilde{\mathfrak{M}}$  by

$$(2.2) \quad g \cdot \langle \cdot, \cdot \rangle := \langle g^{-1}(\cdot), g^{-1}(\cdot) \rangle \quad (\text{for } g \in \text{GL}_n(\mathbb{R}), \langle \cdot, \cdot \rangle \in \widetilde{\mathfrak{M}}),$$

we have an identification

$$(2.3) \quad \widetilde{\mathfrak{M}} = \text{GL}_n(\mathbb{R}) / \text{O}(n).$$

Note that  $\widetilde{\mathfrak{M}}$  equipped with the natural  $\text{GL}_n(\mathbb{R})$ -invariant Riemannian metric is a noncompact Riemannian symmetric space. In order to describe this natural metric, we recall a general theory of reductive homogeneous spaces. Let  $U/K$  be a reductive homogeneous space, that is, there exists an  $\text{Ad}_K$ -invariant subspace  $\mathfrak{m}$  of  $\mathfrak{u}$  satisfying

$$(2.4) \quad \mathfrak{u} = \mathfrak{k} \oplus \mathfrak{m}.$$

Note that  $\mathfrak{u}$  and  $\mathfrak{k}$  are the Lie algebras of  $U$  and  $K$ , respectively, and  $\oplus$  is the direct sum as vector spaces. The decomposition (2.4) is called a *reductive decomposition*. Denote by  $\pi : U \rightarrow U/K$  the natural projection, and by  $o := \pi(e)$  the origin of  $U/K$ . We identify  $\mathfrak{m}$  with the tangent space  $T_o(U/K)$  at  $o$  by

$$(2.5) \quad d\pi_e|_{\mathfrak{m}} : \mathfrak{m} \rightarrow T_o(U/K).$$

This identification induces a one-to-one correspondence between the set of  $U$ -invariant Riemannian metrics on  $U/K$  and the set of  $\text{Ad}_K$ -invariant inner products on  $\mathfrak{m}$ .

Now one can see that  $\widetilde{\mathfrak{M}} = \mathrm{GL}_n(\mathbb{R})/\mathrm{O}(n)$  is a reductive homogeneous space, whose reductive decomposition is given by the subspace

$$(2.6) \quad \mathrm{sym}(n) := \{X \in \mathfrak{gl}_n(\mathbb{R}) \mid X = {}^t X\}.$$

We define the  $\mathrm{Ad}_{\mathrm{O}(n)}$ -inner product on  $\mathrm{sym}(n)$  by

$$(2.7) \quad \langle X, Y \rangle := \mathrm{tr}(XY) \quad (\text{for } X, Y \in \mathrm{sym}(n)).$$

We call the  $\mathrm{GL}_n(\mathbb{R})$ -invariant Riemannian metric corresponding to the above  $\mathrm{Ad}_{\mathrm{O}(n)}$ -inner product the *natural Riemannian metric*.

**2.2. The corresponding submanifolds.** We now define the submanifolds in the space of left-invariant Riemannian metrics, and see that they are homogeneous. These submanifolds come from the equivalence relation “isometric up to scaling”.

**Definition 2.1.** Two inner products  $\langle \cdot, \cdot \rangle_1$  and  $\langle \cdot, \cdot \rangle_2$  on  $\mathfrak{g}$  are said to be *isometric up to scaling* if there exist  $k > 0$  and an automorphism  $f : \mathfrak{g} \rightarrow \mathfrak{g}$  such that  $\langle \cdot, \cdot \rangle_1 = k \langle f(\cdot), f(\cdot) \rangle_2$ .

Assume that inner products  $\langle \cdot, \cdot \rangle_1$  and  $\langle \cdot, \cdot \rangle_2$  on  $\mathfrak{g}$  are isometric up to scaling. Then, the corresponding left-invariant Riemannian metrics on  $G$ , the simply-connected Lie group with Lie algebra  $\mathfrak{g}$ , are isometric up to scaling as Riemannian metrics (we refer to [11, Remark 2.3]). Therefore, this equivalence relation preserves all Riemannian geometric properties of left-invariant metrics. In particular, it preserves solvsolitons.

**Definition 2.2.** For each inner product  $\langle \cdot, \cdot \rangle$  on  $\mathfrak{g}$ , we call its equivalence class  $[\langle \cdot, \cdot \rangle]$  the *corresponding submanifold* to  $\langle \cdot, \cdot \rangle$ .

Note that  $[\langle \cdot, \cdot \rangle]$  is a submanifold in  $\widetilde{\mathfrak{M}} = \mathrm{GL}_n(\mathbb{R})/\mathrm{O}(n)$ . We here recall that  $[\langle \cdot, \cdot \rangle]$  is a homogeneous submanifold. Let us denote by

$$(2.8) \quad \mathbb{R}^\times := \{c \cdot \mathrm{id} : \mathfrak{g} \rightarrow \mathfrak{g} \mid c \in \mathbb{R} \setminus \{0\}\},$$

$$(2.9) \quad \mathrm{Aut}(\mathfrak{g}) := \{\varphi : \mathfrak{g} \rightarrow \mathfrak{g} \mid \text{an automorphism}\}.$$

Then, the subgroup  $\mathbb{R}^\times \mathrm{Aut}(\mathfrak{g})$  of  $\mathrm{GL}_n(\mathbb{R})$  acts naturally on  $\widetilde{\mathfrak{M}}$ . Let us denote by  $\mathbb{R}^\times \mathrm{Aut}(\mathfrak{g}).\langle \cdot, \cdot \rangle$  the  $\mathbb{R}^\times \mathrm{Aut}(\mathfrak{g})$ -orbit through  $\langle \cdot, \cdot \rangle$ .

**Proposition 2.3** ([11, Theorem 2.5]). *Let  $\langle \cdot, \cdot \rangle$  be an inner product on  $\mathfrak{g}$ . Then, the corresponding submanifold  $[\langle \cdot, \cdot \rangle]$  is a homogeneous submanifold with respect to  $\mathbb{R}^\times \mathrm{Aut}(\mathfrak{g})$ , that is,*

$$(2.10) \quad [\langle \cdot, \cdot \rangle] = \mathbb{R}^\times \mathrm{Aut}(\mathfrak{g}).\langle \cdot, \cdot \rangle.$$

### 3. EXPLICIT EXPRESSIONS OF THE MODULI SPACES

In this section, for each three-dimensional solvable Lie algebra  $\mathfrak{g}$ , we give an explicit expression of the “moduli space” of left-invariant Riemannian metrics. The results of this section will be used in Sections 4 and 5.

**3.1. Preliminaries on the moduli spaces.** In this subsection, we recall some necessary facts on the moduli spaces of left-invariant Riemannian metrics. We refer to [11].

**Definition 3.1.** For a Lie algebra  $\mathfrak{g}$ , the quotient space of  $\widetilde{\mathfrak{M}}$  by “isometric up to scaling” is called the *moduli space of left-invariant Riemannian metrics*, and denoted by

$$(3.1) \quad \mathfrak{PM} := \{[\langle, \rangle] \mid \langle, \rangle \in \widetilde{\mathfrak{M}}\}.$$

In order to determine  $\mathfrak{PM}$  explicitly, we will use the following notion of a set of representatives. Recall that we identify  $\mathfrak{g} \cong \mathbb{R}^n$ . Denote by  $\{e_1, \dots, e_n\}$  the canonical basis of  $\mathbb{R}^n$ , and by  $\langle, \rangle_0$  the inner product so that the canonical basis is orthonormal.

**Definition 3.2.** A subset  $U \subset \mathrm{GL}_n(\mathbb{R})$  is called a *set of representatives* of  $\mathfrak{PM}$  if it satisfies

$$(3.2) \quad \mathfrak{PM} = \{[h.\langle, \rangle_0] \mid h \in U\}.$$

In the later arguments, it is convenient to use the double cosets. Note that our double coset  $[[g]]$  of  $g \in \mathrm{GL}_n(\mathbb{R})$  is defined by

$$(3.3) \quad [[g]] := \mathbb{R}^\times \mathrm{Aut}(\mathfrak{g}) \cdot g \cdot \mathrm{O}(n).$$

**Lemma 3.3** ([6]). *Let  $U \subset \mathrm{GL}_n(\mathbb{R})$ . Then,  $U$  is a set of representatives of  $\mathfrak{PM}$  if and only if, for every  $g \in \mathrm{GL}_n(\mathbb{R})$ , there exists  $h \in U$  such that  $h \in [[g]]$ .*

In order to obtain a set of representatives of  $\mathfrak{PM}$ , one needs  $\mathbb{R}^\times \mathrm{Aut}(\mathfrak{g})$ . The Lie algebra of  $\mathbb{R}^\times \mathrm{Aut}(\mathfrak{g})$  coincides with  $\mathbb{R} \oplus \mathrm{Der}(\mathfrak{g})$ , where

$$(3.4) \quad \mathbb{R} := \{c \cdot \mathrm{id} : \mathfrak{g} \rightarrow \mathfrak{g} \mid c \in \mathbb{R}\},$$

$$(3.5) \quad \mathrm{Der}(\mathfrak{g}) := \{D \in \mathfrak{gl}(\mathfrak{g}) \mid D[\cdot, \cdot] = [D(\cdot), \cdot] + [\cdot, D(\cdot)]\}.$$

The Lie algebra  $\mathbb{R} \oplus \mathrm{Der}(\mathfrak{g})$  determines  $(\mathbb{R}^\times \mathrm{Aut}(\mathfrak{g}))^0$ , the connected component of  $\mathbb{R}^\times \mathrm{Aut}(\mathfrak{g})$  containing the identity.

For each three-dimensional solvable Lie algebra, the moduli space  $\mathfrak{PM}$  has been studied in [11]. We here mention the trivial case, which means that  $\mathfrak{PM}$  consists of one point.

**Proposition 3.4** ([11, 14]). *Let  $\mathfrak{g} = \mathfrak{h}_3$  or  $\mathfrak{r}_{3,1}$ . Then,  $\mathbb{R}^\times \mathrm{Aut}(\mathfrak{g})$  acts transitively on  $\widetilde{\mathfrak{M}}$ , and hence  $\mathfrak{PM} = \{\mathrm{pt}\}$ .*

**Remark 3.5.** One can see that Theorem 1.3 holds for  $\mathfrak{g} = \mathfrak{h}_3$  and  $\mathfrak{r}_{3,1}$ . In fact, it is well-known that any left-invariant Riemannian metrics  $\langle, \rangle$  on these Lie algebras are solvsolitons (nilsoliton for  $\mathfrak{h}_3$ , and Einstein for  $\mathfrak{r}_{3,1}$ ). Furthermore, for every  $\langle, \rangle$ , the corresponding submanifold  $[\langle, \rangle]$  coincides with the ambient space  $\widetilde{\mathfrak{M}}$ , which is minimal.

In the following, we will study the remaining three-dimensional solvable Lie algebras.

**3.2. A lemma for nontrivial cases.** This subsection gives a preliminary to obtain a set of representatives  $U$  of  $\mathfrak{PM}$  for  $\mathfrak{g} = \mathfrak{r}_3, \mathfrak{r}_{3,a}$  ( $-1 \leq a < 1$ ), and  $\mathfrak{r}'_{3,a}$  ( $a \geq 0$ ).

First of all, let us recall a matrix expression of  $\text{Der}(\mathfrak{g})$  for these Lie algebras. The following results can be calculated directly, and be found in [11, Section 4].

**Lemma 3.6** ([11]). *The matrix expressions of  $\text{Der}(\mathfrak{g})$  with respect to the bases  $\{e_1, e_2, e_3\}$  in Table 1.3 are given as follows:*

(1) *Let  $\mathfrak{g} = \mathfrak{r}_3$ . Then, we have*

$$\text{Der}(\mathfrak{g}) = \left\{ \begin{pmatrix} 0 & 0 & 0 \\ x_{21} & x_{22} & 0 \\ x_{31} & x_{32} & x_{22} \end{pmatrix} \mid x_{21}, x_{22}, x_{31}, x_{32} \in \mathbb{R} \right\}.$$

(2) *Let  $\mathfrak{g} = \mathfrak{r}_{3,a}$  ( $-1 \leq a < 1$ ). Then, we have*

$$\text{Der}(\mathfrak{g}) = \left\{ \begin{pmatrix} 0 & 0 & 0 \\ x_{21} & x_{22} & 0 \\ x_{31} & 0 & x_{33} \end{pmatrix} \mid x_{21}, x_{22}, x_{31}, x_{33} \in \mathbb{R} \right\}.$$

(3) *Let  $\mathfrak{g} = \mathfrak{r}'_{3,a}$  ( $a \geq 0$ ). Then, we have*

$$\text{Der}(\mathfrak{g}) = \left\{ \begin{pmatrix} 0 & 0 & 0 \\ x_{21} & x_{22} & -x_{23} \\ x_{31} & x_{23} & x_{22} \end{pmatrix} \mid x_{21}, x_{22}, x_{23}, x_{31} \in \mathbb{R} \right\}.$$

Let us consider  $\mathbb{R}^\times \text{Aut}(\mathfrak{g})$  for these Lie algebras  $\mathfrak{g}$ . One can see from Lemma 3.6 that  $\mathbb{R}^\times \text{Aut}(\mathfrak{g})$  contain

$$(3.6) \quad F := \left\{ \begin{pmatrix} x_{11} & 0 & 0 \\ x_{21} & x_{22} & 0 \\ x_{31} & 0 & x_{22} \end{pmatrix} \mid x_{11}, x_{22} > 0 \right\}.$$

For the later use, we prepare the following lemma, which can be applied for all Lie algebras we have to consider.

**Lemma 3.7.** *Let  $\mathfrak{g}$  be a three-dimensional Lie algebra, and fix a basis of  $\mathfrak{g}$ . If  $F \subset \mathbb{R}^\times \text{Aut}(\mathfrak{g})$  holds, then the following  $L'$  is a set of representatives of  $\mathfrak{PM}$ :*

$$(3.7) \quad L' := \left\{ \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & a_{32} & a_{33} \end{pmatrix} \mid a_{33} > 0 \right\}.$$

*Proof.* Take any  $g \in \text{GL}_3(\mathbb{R})$ . By Lemma 3.3, we have only to show that there exists  $g' \in L'$  such that  $g' \in [[g]]$ . First of all, one knows that there exists  $k \in \text{O}(3)$  such that

$$(3.8) \quad gk = \begin{pmatrix} g_{11} & 0 & 0 \\ g_{21} & g_{22} & 0 \\ g_{31} & g_{32} & g_{33} \end{pmatrix}, \quad g_{11}, g_{22}, g_{33} > 0.$$

By assumption, we can take

$$(3.9) \quad \varphi := \frac{1}{g_{11}g_{22}} \begin{pmatrix} g_{22} & 0 & 0 \\ -g_{21} & g_{11} & 0 \\ -g_{31} & 0 & g_{11} \end{pmatrix} \in F \subset \mathbb{R}^\times \text{Aut}(\mathfrak{g}).$$

By a direct calculation, one has

$$(3.10) \quad [[g]] \ni \varphi gk = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & g_{32}/g_{22} & g_{33}/g_{22} \end{pmatrix} =: g'.$$

Since  $g' \in L'$ , we complete the proof.  $\square$

**3.3. Case of  $\mathfrak{g} = \mathfrak{r}_3$ .** In this subsection, we give an explicit expression of  $\mathfrak{PM}$  for  $\mathfrak{g} = \mathfrak{r}_3$ . We fix a basis  $\{e_1, e_2, e_3\}$  of  $\mathfrak{r}_3$  whose bracket relations are given by

$$(3.11) \quad [e_1, e_2] = e_2 + e_3, \quad [e_1, e_3] = e_3.$$

From Lemma 3.6, we have

$$(3.12) \quad \mathbb{R} \oplus \text{Der}(\mathfrak{g}) = \left\{ \begin{pmatrix} x_{11} & 0 & 0 \\ x_{21} & x_{22} & 0 \\ x_{31} & x_{32} & x_{22} \end{pmatrix} \mid x_{11}, x_{21}, x_{22}, x_{31}, x_{32} \in \mathbb{R} \right\}.$$

This yields that

$$(3.13) \quad (\mathbb{R}^\times \text{Aut}(\mathfrak{g}))^0 = \left\{ \begin{pmatrix} x_{11} & 0 & 0 \\ x_{21} & x_{22} & 0 \\ x_{31} & x_{32} & x_{22} \end{pmatrix} \mid x_{11}, x_{22} > 0 \right\}.$$

Therefore, we can apply Lemma 3.7 for this case.



**Proposition 3.8.** *Let  $\mathfrak{g} = \mathfrak{r}_3$ . Then the following  $U$  is a set of representatives of  $\mathfrak{PM}$ :*

$$(3.14) \quad U = \left\{ \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1/\lambda \end{pmatrix} \mid \lambda > 0 \right\}.$$

*Proof.* Take any  $g \in \mathrm{GL}_3(\mathbb{R})$ . By Lemma 3.3, we have only to show that there exists  $\lambda > 0$  such that

$$(3.15) \quad \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1/\lambda \end{pmatrix} \in [[g]].$$

We use  $L'$  defined in Lemma 3.7. One has from (3.13) and Lemma 3.7 that there exists  $g' \in L'$  such that  $g' \in [[g]]$ . Since  $g' \in L'$ , one can write

$$(3.16) \quad g' = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & a_{32} & a_{33} \end{pmatrix}, \quad a_{33} > 0.$$

It follows from (3.13) that

$$(3.17) \quad \varphi := \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & -a_{32} & 1 \end{pmatrix} \in (\mathbb{R}^\times \mathrm{Aut}(\mathfrak{g}))^0.$$

This shows that

$$(3.18) \quad [[g]] \ni \varphi g' = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & a_{33} \end{pmatrix}.$$

Therefore, by putting  $\lambda := 1/a_{33}$ , we complete the proof.  $\square$

**3.4. Case of  $\mathfrak{g} = \mathfrak{r}_{3,a}$  ( $-1 \leq a < 1$ ).** In this subsection, we give an explicit expression of  $\mathfrak{PM}$  for  $\mathfrak{g} = \mathfrak{r}_{3,a}$ . Throughout this subsection, we fix  $a$  satisfying  $-1 \leq a < 1$ , and a basis  $\{e_1, e_2, e_3\}$  of  $\mathfrak{r}_{3,a}$  whose bracket relations are given by

$$(3.19) \quad [e_1, e_2] = e_2, \quad [e_1, e_3] = ae_3.$$

From Lemma 3.6, we have

$$(3.20) \quad \mathbb{R} \oplus \mathrm{Der}(\mathfrak{g}) = \left\{ \begin{pmatrix} x_{11} & 0 & 0 \\ x_{21} & x_{22} & 0 \\ x_{31} & 0 & x_{33} \end{pmatrix} \mid x_{11}, x_{21}, x_{22}, x_{31}, x_{33} \in \mathbb{R} \right\}.$$

This yields that

$$(3.21) \quad (\mathbb{R}^\times \mathrm{Aut}(\mathfrak{g}))^0 = \left\{ \begin{pmatrix} x_{11} & 0 & 0 \\ x_{21} & x_{22} & 0 \\ x_{31} & 0 & x_{33} \end{pmatrix} \mid x_{11}, x_{22}, x_{33} > 0 \right\}.$$

**Proposition 3.9.** *Let  $\mathfrak{g} = \mathfrak{r}_{3,a}$ . Then the following  $U$  is a set of representatives of  $\mathfrak{PM}$ :*

$$(3.22) \quad U = \left\{ \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & \lambda & 1 \end{pmatrix} \mid \lambda \in \mathbb{R} \right\}.$$

*Proof.* Take any  $g \in \mathrm{GL}_3(\mathbb{R})$ . By Lemma 3.3, we have only to show that there exists  $\lambda \in \mathbb{R}$  such that

$$(3.23) \quad \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & \lambda & 1 \end{pmatrix} \in [[g]].$$

By (3.21) and Lemma 3.7, there exists  $g' \in L'$  such that  $g' \in [[g]]$ . Since  $g' \in L'$ , one can write

$$(3.24) \quad g' = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & a_{32} & a_{33} \end{pmatrix}, \quad a_{33} > 0.$$

It follows from (3.21) that

$$(3.25) \quad \varphi := \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1/a_{33} \end{pmatrix} \in (\mathbb{R}^\times \mathrm{Aut}(\mathfrak{g}))^0.$$

This yields that

$$(3.26) \quad [[g]] \ni \varphi g' = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & a_{32}/a_{33} & 1 \end{pmatrix}.$$

Therefore, by putting  $\lambda := a_{32}/a_{33}$ , we complete the proof.  $\square$

**3.5. Case of  $\mathfrak{g} = \mathfrak{r}'_{3,a}$  ( $a \geq 0$ ).** In this subsection, we give an explicit expression of  $\mathfrak{PM}$  for  $\mathfrak{g} = \mathfrak{r}'_{3,a}$ . Throughout this subsection, we fix  $a$  satisfying  $a \geq 0$ , and a basis  $\{e_1, e_2, e_3\}$  of  $\mathfrak{r}_{3,a}$  whose bracket relations are given by

$$(3.27) \quad [e_1, e_2] = ae_2 - e_3, \quad [e_1, e_3] = e_2 + ae_3.$$

From Lemma 3.6, we have

$$(3.28) \quad \mathbb{R} \oplus \mathrm{Der}(\mathfrak{g}) = \left\{ \begin{pmatrix} x_{11} & 0 & 0 \\ x_{21} & x_{22} & -x_{23} \\ x_{31} & x_{23} & x_{22} \end{pmatrix} \mid x_{11}, x_{21}, x_{22}, x_{23}, x_{31} \in \mathbb{R} \right\}.$$

This yields that we can also apply Lemma 3.7 for this case.

**Proposition 3.10.** *Let  $\mathfrak{g} = \mathfrak{r}'_{3,a}$ . Then the following  $U$  is a set of representatives of  $\mathfrak{PM}$ :*

$$(3.29) \quad U = \left\{ \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1/\lambda \end{pmatrix} \mid \lambda \geq 1 \right\}.$$

*Proof.* Take any  $g \in \mathrm{GL}_3(\mathbb{R})$ . By Lemma 3.3, we have only to show that there exists  $\lambda \geq 1$  such that

$$(3.30) \quad \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1/\lambda \end{pmatrix} \in [[g]].$$

By (3.28), one can see that  $(\mathbb{R}^\times \mathrm{Aut}(\mathfrak{g}))^0$  contains  $F$  defined by (3.6). Hence, by Lemma 3.7, there exists  $g' \in L'$  such that  $g' \in [[g]]$ . Since  $g' \in L'$ , one can write

$$(3.31) \quad g' = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & a_{32} & a_{33} \end{pmatrix}, \quad a_{33} > 0.$$

Then, from (3.28), one has

$$(3.32) \quad R(\theta) := \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos(\theta) & -\sin(\theta) \\ 0 & \sin(\theta) & \cos(\theta) \end{pmatrix} \in (\mathbb{R}^\times \mathrm{Aut}(\mathfrak{g}))^0.$$

It follows from linear algebra (or the theory of Cartan decomposition) that

$$(3.33) \quad \mathrm{GL}_2(\mathbb{R}) = \mathrm{SO}(2) \cdot \left\{ \begin{pmatrix} x & 0 \\ 0 & y \end{pmatrix} \mid x \geq y > 0 \right\} \cdot \mathrm{O}(2).$$

This yields that there exist  $\theta \in \mathbb{R}$  and  $k \in \mathrm{O}(3)$  such that

$$(3.34) \quad [[g]] \ni R(\theta)g'k = \begin{pmatrix} 1 & 0 & 0 \\ 0 & x & 0 \\ 0 & 0 & y \end{pmatrix} =: g'', \quad x \geq y > 0$$

By using (3.28) again, one has

$$(3.35) \quad \varphi := \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1/x & 0 \\ 0 & 0 & 1/x \end{pmatrix} \in (\mathbb{R}^\times \mathrm{Aut}(\mathfrak{g}))^0.$$

This yields that

$$(3.36) \quad [[g]] \ni \varphi g'' = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & y/x \end{pmatrix}.$$

Therefore, by putting  $\lambda := x/y \geq 1$ , we complete the proof.  $\square$

## 4. THREE-DIMENSIONAL SOLVSOLITONS

In this section, we give a Milnor-type theorem for each three-dimensional solvable Lie algebra  $\mathfrak{g}$ , and apply it to determine which points in the moduli space  $\mathfrak{PM}$  are solvsolitons. Note that a classification of three-dimensional solvsolitons has already been obtained by Lauret ([17]), but we here reprove it, since Milnor-type theorems itself and their application would be interesting.

**4.1. Preliminaries on curvatures.** In this subsection, we recall the notion of solvsolitons introduced by Lauret ([17]), and study the Ricci operators of three-dimensional solvable Lie algebras. Note that we discuss everything on a metric Lie algebra  $(\mathfrak{g}, \langle, \rangle)$ , instead of the simply-connected Lie group with Lie algebra  $\mathfrak{g}$  equipped with the corresponding left-invariant Riemannian metric.

**Definition 4.1.** An inner product  $\langle, \rangle$  on a solvable Lie algebra  $\mathfrak{g}$  is called a *solvsoliton* if it satisfies

$$(4.1) \quad \text{Ric}_{\langle, \rangle} \in \mathbb{R} \oplus \text{Der}(\mathfrak{g}),$$

where  $\text{Ric}_{\langle, \rangle}$  is the Ricci operator of  $\langle, \rangle$ . If  $\mathfrak{g}$  is nilpotent, then a solvsoliton on  $\mathfrak{g}$  is called a *nilsoliton*.

Here we recall the definition of the Ricci operator of  $(\mathfrak{g}, \langle, \rangle)$ . First of all, the Levi-Civita connection  $\nabla : \mathfrak{g} \times \mathfrak{g} \rightarrow \mathfrak{g}$  is given by

$$(4.2) \quad 2\langle \nabla_X Y, Z \rangle = \langle [Z, X], Y \rangle + \langle X, [Z, Y] \rangle + \langle [X, Y], Z \rangle.$$

The Riemannian curvature  $R$  is defined by

$$(4.3) \quad R(X, Y)Z := \nabla_X \nabla_Y Z - \nabla_Y \nabla_X Z - \nabla_{[X, Y]} Z.$$

Let  $\{e_i\}$  be an orthonormal basis of  $\mathfrak{g}$  with respect to  $\langle, \rangle$ . The Ricci operator  $\text{Ric}_{\langle, \rangle} : \mathfrak{g} \rightarrow \mathfrak{g}$  is defined by

$$(4.4) \quad \text{Ric}_{\langle, \rangle}(X) := \sum R(X, e_i)e_i.$$

Let us consider the equivalence relation, isometry and scaling in the sense of Definition 2.1. Recall that  $[\langle, \rangle]$  denotes the equivalence class of  $\langle, \rangle$ . Then it is easy to see the following.

**Proposition 4.2.** *Let  $\langle, \rangle$  and  $\langle, \rangle'$  be inner products on a solvable Lie algebra  $\mathfrak{g}$ , and assume that  $[\langle, \rangle] = [\langle, \rangle']$ . If  $\langle, \rangle$  is a solvsoliton, then so is  $\langle, \rangle'$ .*

This proposition is an easy observation, but has an important conclusion. That is, it is enough to consider  $\mathfrak{PM}$  to examine whether  $\mathfrak{g}$  admits a solvsoliton or not.

**Remark 4.3.** It is worthwhile to mention that the uniqueness of solvsolitons holds. That is, if  $\langle, \rangle$  and  $\langle, \rangle'$  are solvsolitons on a solvable Lie algebra  $\mathfrak{g}$ , then  $[\langle, \rangle] = [\langle, \rangle']$  holds. This follows from the proof of [17, Theorem 5.1]. But, we will not use this in the latter arguments. In particular, for solvsolitons on three-dimensional solvable Lie algebras, the uniqueness can be directly seen from our classification.

At the end of this subsection, we calculate the Ricci curvatures of three-dimensional solvable Lie algebras in a unified way.

**Lemma 4.4.** *Let  $\mathfrak{g}$  be a three-dimensional solvable Lie algebra, and  $\langle, \rangle$  be an inner product on  $\mathfrak{g}$ . Suppose that there exist  $a, b, c, d \in \mathbb{R}$  and an orthonormal basis  $\{x_1, x_2, x_3\}$  with respect to  $\langle, \rangle$  such that the bracket relations are given by*

$$[x_1, x_2] = ax_2 + bx_3, \quad [x_1, x_3] = cx_2 + dx_3.$$

Then, the Ricci operator satisfies

$$\text{Ric}_{\langle, \rangle}(x_i) = \begin{cases} -(a^2 + d^2 + (1/2)(b+c)^2)x_1 & (i=1), \\ -(a(a+d) + (1/2)(b^2 - c^2))x_2 - (ac + bd)x_3 & (i=2), \\ -(ac + bd)x_2 - (d(a+d) - (1/2)(b^2 - c^2))x_3 & (i=3). \end{cases}$$

*Proof.* First of all, we calculate the Levi-Civita connection  $\nabla$ . A direct calculation shows that

$$(4.5) \quad \nabla_{x_1}x_1 = 0, \quad \nabla_{x_2}x_2 = ax_1, \quad \nabla_{x_3}x_3 = dx_1.$$

In order to calculate the other components, we use  $U : \mathfrak{g} \times \mathfrak{g} \rightarrow \mathfrak{g}$  defined by

$$2\langle U(X, Y), Z \rangle = \langle [Z, X], Y \rangle + \langle X, [Z, Y] \rangle$$

for every  $X, Y, Z \in \mathfrak{g}$ . One can easily calculate that

$$(4.6) \quad U(x_1, x_2) = -(a/2)x_2 - (c/2)x_3.$$

Note that  $U$  is symmetric. Hence, one obtains that

$$(4.7) \quad \begin{aligned} \nabla_{x_1}x_2 &= (1/2)[x_1, x_2] + U(x_1, x_2) = ((b-c)/2)x_3, \\ \nabla_{x_2}x_1 &= (1/2)[x_2, x_1] + U(x_2, x_1) = -ax_2 - ((b+c)/2)x_3. \end{aligned}$$

By changing the roles of  $x_2$  and  $x_3$ , we also have

$$(4.8) \quad \nabla_{x_1}x_3 = ((c-b)/2)x_2, \quad \nabla_{x_3}x_1 = -dx_3 - ((b+c)/2)x_2.$$

A similar calculation shows that  $U(x_2, x_3) = ((b+c)/2)x_1$ , which concludes

$$(4.9) \quad \nabla_{x_2}x_3 = ((b+c)/2)x_1, \quad \nabla_{x_3}x_2 = ((b+c)/2)x_1.$$

One can thus calculate the Riemannian curvatures  $R$ . The above calculations of  $\nabla$  yield that

$$\begin{aligned} R(x_1, x_2)x_2 &= -(a^2 + (3/4)b^2 - (1/4)c^2 + (1/2)bc)x_1, \\ R(x_1, x_3)x_3 &= -(-(1/4)b^2 + (3/4)c^2 + d^2 + (1/2)bc)x_1. \end{aligned}$$

By summing up them, we obtain the Ricci curvature  $\text{Ric}_{\langle, \rangle}(x_1)$ . Similarly, one can obtain  $\text{Ric}_{\langle, \rangle}(x_2)$  and  $\text{Ric}_{\langle, \rangle}(x_3)$  by

$$\begin{aligned} R(x_2, x_1)x_1 &= -(a^2 + (3/4)b^2 - (1/4)c^2 + (1/2)bc)x_2 - (ac + bd)x_3, \\ R(x_2, x_3)x_3 &= ((1/4)b^2 + (1/4)c^2 - ad + (1/2)bc)x_2, \\ R(x_3, x_1)x_1 &= -(ac + bd)x_2 - (-(1/4)b^2 + (3/4)c^2 + d^2 + (1/2)bc)x_3, \\ R(x_3, x_2)x_2 &= ((1/4)b^2 + (1/4)c^2 - ad + (1/2)bc)x_3. \end{aligned}$$

This completes the proof of the lemma.  $\square$

Lemma 4.4 is a slight generalization of some known results. In fact, when  $a + d \neq 0$  and  $ac + bd = 0$ , the Ricci operators were calculated by Milnor ([19, Lemma 6.5]). Note that the Ricci operators are diagonal in this case. Ha and Lee ([5]) also calculated the Ricci operators in some cases, which essentially correspond to the case of  $a = 0$ .

**4.2. Preliminaries on Milnor-type theorems.** In this subsection, we recall a method for studying all inner products on a given Lie algebra  $\mathfrak{g}$ . This method is called a Milnor-type theorem in [6], since it generalizes the famous theorem by Milnor ([19]).

**Theorem 4.5.** *Let  $U$  be a set of representatives of  $\mathfrak{PM}$ . Then, for every inner product  $\langle, \rangle$  on  $\mathfrak{g}$ , we have the following:*

- (1) *There exist  $h \in U$ ,  $\varphi \in \text{Aut}(\mathfrak{g})$ , and  $k > 0$  such that  $\{\varphi he_1, \dots, \varphi he_n\}$  is an orthonormal basis of  $\mathfrak{g}$  with respect to  $k\langle, \rangle$ .*
- (2) *The matrix expression of  $\text{Der}(\mathfrak{g})$  with respect to  $\{\varphi he_1, \dots, \varphi he_n\}$  coincides with*

$$\{h^{-1}Dh \in \text{GL}_n(\mathbb{R}) \mid D \in \text{Der}(\mathfrak{g})\}.$$

*Proof.* The first assertion has been proved in [6]. We show the second assertion. One has that  $\{\varphi he_1, \dots, \varphi he_n\}$  and  $\{he_1, \dots, he_n\}$  have the same bracket relations, since  $\varphi \in \text{Aut}(\mathfrak{g})$ . This yields that the matrix expressions of  $\text{Der}(\mathfrak{g})$  with respect to these two bases are the same. Furthermore, the latter basis and  $\{e_1, \dots, e_n\}$  are related by

$$(4.10) \quad (he_1, \dots, he_n) = (e_1, \dots, e_n)h.$$

Therefore, an elementary linear algebra shows that the matrix expression of  $\text{Der}(\mathfrak{g})$  with respect to  $\{he_1, \dots, he_n\}$  coincides with the one in the second assertion. This completes the proof.  $\square$

By applying this theorem for a given Lie algebra  $\mathfrak{g}$ , we can obtain a Milnor-type theorem. More precisely, the basis  $\{\varphi he_1, \dots, \varphi he_n\}$  plays a similar role to the Milnor frames. Note that the bracket relations among elements of this basis depend only on  $h \in U$ , since  $\varphi$  preserves the bracket product.

In the following subsections, we will study the existence of solvsolitons on three-dimensional solvable Lie algebras. Note that we can omit the cases of  $\mathfrak{g} = \mathfrak{h}_3$  and  $\mathfrak{r}_{3,1}$ , because of Remark 3.5.

**4.3. Case of  $\mathfrak{g} = \mathfrak{r}_3$ .** In this subsection, we prove that  $\mathfrak{g} = \mathfrak{r}_3$  does not admit solvsolitons. The main tool is the following Milnor-type theorem.

**Proposition 4.6.** *For every inner product  $\langle, \rangle$  on  $\mathfrak{g} = \mathfrak{r}_3$ , there exist  $\lambda > 0$ ,  $k > 0$ , and an orthonormal basis  $\{x_1, x_2, x_3\}$  with respect to  $k\langle, \rangle$  such that the bracket relations are given by*

$$(4.11) \quad [x_1, x_2] = x_2 + \lambda x_3, \quad [x_1, x_3] = x_3.$$

Furthermore, the matrix expression of  $\text{Der}(\mathfrak{g})$  with respect to  $\{x_1, x_2, x_3\}$  coincides with

$$\left\{ \begin{pmatrix} 0 & 0 & 0 \\ x_{21} & x_{22} & 0 \\ x_{31} & x_{32} & x_{33} \end{pmatrix} \mid x_{21}, x_{22}, x_{31}, x_{32} \in \mathbb{R} \right\}.$$

*Proof.* Let  $\{e_1, e_2, e_3\}$  be the canonical basis of  $\mathfrak{r}_3$ . Recall that the bracket relations are given by

$$(4.12) \quad [e_1, e_2] = e_2 + e_3, \quad [e_1, e_3] = e_3.$$

We have proved in Proposition 3.8 that the following  $U$  is a set of representatives of  $\mathfrak{PM}$ :

$$(4.13) \quad U := \left\{ g_\lambda := \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1/\lambda \end{pmatrix} \mid \lambda > 0 \right\}.$$

Take any inner product  $\langle, \rangle$  on  $\mathfrak{g}$ . By Theorem 4.5, there exist  $g_\lambda \in U$ ,  $k > 0$ , and  $\varphi \in \text{Aut}(\mathfrak{g})$  such that  $\{\varphi g_\lambda e_1, \varphi g_\lambda e_2, \varphi g_\lambda e_3\}$  is orthonormal with respect to  $k\langle, \rangle$ . Put  $x_i := \varphi g_\lambda e_i$  for  $i = 1, 2, 3$ . We calculate the bracket relations among them. One has

$$(4.14) \quad g_\lambda e_1 = e_1, \quad g_\lambda e_2 = e_2, \quad g_\lambda e_3 = (1/\lambda)e_3.$$

We thus obtain

$$(4.15) \quad \begin{aligned} [g_\lambda e_1, g_\lambda e_2] &= [e_1, e_2] = e_2 + e_3 = g_\lambda e_2 + \lambda g_\lambda e_3, \\ [g_\lambda e_1, g_\lambda e_3] &= [e_1, (1/\lambda)e_3] = (1/\lambda)e_3 = g_\lambda e_3, \\ [g_\lambda e_2, g_\lambda e_3] &= [e_2, (1/\lambda)e_3] = 0. \end{aligned}$$

Therefore, by applying  $\varphi \in \text{Aut}(\mathfrak{g})$  to the both sides of these equations, we obtain

$$(4.16) \quad \begin{aligned} [x_1, x_2] &= [\varphi g_\lambda e_1, \varphi g_\lambda e_2] = \varphi[g_\lambda e_1, g_\lambda e_2] = x_2 + \lambda x_3, \\ [x_1, x_3] &= [\varphi g_\lambda e_1, \varphi g_\lambda e_3] = \varphi[g_\lambda e_1, g_\lambda e_3] = x_3, \\ [x_2, x_3] &= [\varphi g_\lambda e_2, \varphi g_\lambda e_3] = \varphi[g_\lambda e_2, g_\lambda e_3] = 0. \end{aligned}$$

This completes the proof of the first assertion. We show the second assertion. Lemma 3.6 yields that, for every  $D \in \text{Der}(\mathfrak{g})$ , the matrix expression of  $D$  with respect to  $\{e_1, e_2, e_3\}$  is given by

$$(4.17) \quad D = \begin{pmatrix} 0 & 0 & 0 \\ x_{21} & x_{22} & 0 \\ x_{31} & x_{32} & x_{22} \end{pmatrix}.$$

A direct calculation shows that

$$(4.18) \quad g_\lambda^{-1} \begin{pmatrix} 0 & 0 & 0 \\ x_{21} & x_{22} & 0 \\ x_{31} & x_{32} & x_{22} \end{pmatrix} g_\lambda = \begin{pmatrix} 0 & 0 & 0 \\ x_{21} & x_{22} & 0 \\ \lambda x_{31} & \lambda x_{32} & x_{22} \end{pmatrix}.$$

Note that  $\lambda x_{31}$  and  $\lambda x_{32}$  can take any real numbers, and are independent of the other components. Therefore, by Theorem 4.5 (2), one can obtain the matrix expression of  $\text{Der}(\mathfrak{g})$  with respect to  $\{x_1, x_2, x_3\}$ . This completes the proof of the second assertion.  $\square$

By applying the Milnor-type theorem, Proposition 4.6, we prove that  $\mathfrak{r}_3$  does not admit solvsolitons.

**Proposition 4.7.** *The Lie algebra  $\mathfrak{g} = \mathfrak{r}_3$  does not admit solvsolitons.*

*Proof.* Take any inner product  $\langle, \rangle$  on  $\mathfrak{g}$ . We show that this is not a solvsoliton. By Proposition 4.6, there exist  $\lambda > 0$ ,  $k > 0$ , and an orthonormal basis  $\{x_1, x_2, x_3\}$  with respect to  $k\langle, \rangle$  such that the bracket relations are given by

$$(4.19) \quad [x_1, x_2] = x_2 + \lambda x_3, \quad [x_1, x_3] = x_3.$$

We can assume  $k = 1$  without loss of generality, since solvsolitons are preserved by scaling. Then, from Lemma 4.4, the matrix expression of  $\text{Ric}_{\langle, \rangle}$  with respect to the orthonormal basis  $\{x_1, x_2, x_3\}$  is given by

$$(4.20) \quad \text{Ric}_{\langle, \rangle} = - \begin{pmatrix} 2 + (\lambda^2/2) & 0 & 0 \\ 0 & 2 + (\lambda^2/2) & \lambda \\ 0 & \lambda & 2 - (\lambda^2/2) \end{pmatrix}.$$

On the other hand, by Proposition 4.6, one knows the matrix expression of  $\text{Der}(\mathfrak{g})$  with respect to  $\{x_1, x_2, x_3\}$ . By looking at the  $(2, 3)$ -component, we have

$$(4.21) \quad \text{Ric}_{\langle, \rangle} \notin \mathbb{R} \oplus \text{Der}(\mathfrak{g}).$$

This proves that  $\langle, \rangle$  is not a solvsoliton.  $\square$

**4.4. Case of  $\mathfrak{g} = \mathfrak{r}_{3,a}$  ( $-1 \leq a < 1$ ).** In this subsection, we classify solvsolitons on  $\mathfrak{g} = \mathfrak{r}_{3,a}$ . Throughout this subsection, we fix  $a$  satisfying  $-1 \leq a < 1$ . Recall that, for the canonical basis  $\{e_1, e_2, e_3\}$  of  $\mathfrak{r}_{3,a}$ , the bracket relations are given by

$$(4.22) \quad [e_1, e_2] = e_2, \quad [e_1, e_3] = ae_3.$$



**Proposition 4.8.** *For every inner product  $\langle, \rangle$  on  $\mathfrak{g} = \mathfrak{r}_{3,a}$ , there exist  $\lambda \in \mathbb{R}$ ,  $k > 0$ , and an orthonormal basis  $\{x_1, x_2, x_3\}$  with respect to  $k\langle, \rangle$  such that the bracket relations are given by*

$$[x_1, x_2] = x_2 + \lambda(a - 1)x_3, \quad [x_1, x_3] = ax_3.$$

*Furthermore, the matrix expression of  $\text{Der}(\mathfrak{g})$  with respect to  $\{x_1, x_2, x_3\}$  coincides with*

$$\left\{ \begin{pmatrix} 0 & 0 & 0 \\ x_{21} & x_{22} & 0 \\ x_{31} & \lambda(x_{33} - x_{22}) & x_{33} \end{pmatrix} \mid x_{21}, x_{22}, x_{31}, x_{33} \in \mathbb{R} \right\}.$$

*Proof.* The proof is similar to that of Proposition 4.6. Take any inner product  $\langle, \rangle$  on  $\mathfrak{r}_{3,a}$ . By Proposition 3.9, the following  $U$  is a set of representatives of  $\mathfrak{PM}$ :

$$(4.23) \quad U := \left\{ g_\lambda := \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & \lambda & 1 \end{pmatrix} \mid \lambda \in \mathbb{R} \right\}.$$

By Theorem 4.5, there exist  $g_\lambda \in U$ ,  $k > 0$ , and  $\varphi \in \text{Aut}(\mathfrak{g})$  such that

$$(4.24) \quad (x_1, x_2, x_3) := (\varphi g_\lambda e_1, \varphi g_\lambda e_2, \varphi g_\lambda e_3)$$

forms an orthonormal basis with respect to  $k\langle, \rangle$ . We have only to check the bracket relations. By definition, we have

$$(4.25) \quad g_\lambda e_1 = e_1, \quad g_\lambda e_2 = e_2 + \lambda e_3, \quad g_\lambda e_3 = e_3.$$

One can thus calculate that

$$(4.26) \quad \begin{aligned} [g_\lambda e_1, g_\lambda e_2] &= [e_1, e_2 + \lambda e_3] = e_2 + a\lambda e_3 = (g_\lambda e_2 - \lambda g_\lambda e_3) + a\lambda g_\lambda e_3 \\ &= g_\lambda e_2 + \lambda(a - 1)e_3, \\ [g_\lambda e_1, g_\lambda e_3] &= [e_1, e_3] = ae_3 = a g_\lambda e_3, \\ [g_\lambda e_2, g_\lambda e_3] &= [e_2 + \lambda e_3, e_3] = 0. \end{aligned}$$

By applying  $\varphi \in \text{Aut}(\mathfrak{g})$ , one completes the proof of the first assertion. The second assertion follows from Lemma 3.6 and Theorem 4.5. In fact, one has

$$(4.27) \quad g_\lambda^{-1} \begin{pmatrix} 0 & 0 & 0 \\ x_{21} & x_{22} & 0 \\ x_{31} & 0 & x_{33} \end{pmatrix} g_\lambda = \begin{pmatrix} 0 & 0 & 0 \\ x_{21} & x_{22} & 0 \\ -\lambda x_{21} + x_{31} & \lambda(x_{33} - x_{22}) & x_{33} \end{pmatrix}.$$

This completes the proof, since  $-\lambda x_{21} + x_{31}$  can take any real number and is independent of the other components.  $\square$

By applying the Milnor-type theorem, Proposition 4.8, one can classify solvsolitons on  $\mathfrak{g} = \mathfrak{r}_{3,a}$ . Recall that  $\langle, \rangle_0$  is the inner product on  $\mathfrak{g}$  so that the canonical basis  $\{e_1, e_2, e_3\}$  is orthonormal.

**Proposition 4.9.** *An inner product  $\langle, \rangle$  on  $\mathfrak{g} = \mathfrak{r}_{3,a}$  is a solvsoliton if and only if  $[\langle, \rangle] = [\langle, \rangle_0]$ .*

*Proof.* First of all, we show the “if”-part. We have only to show that  $\langle, \rangle_0$  is a solvsoliton. By Lemma 4.4, one knows

$$(4.28) \quad \text{Ric}_{\langle, \rangle_0} = - \begin{pmatrix} 1+a^2 & 0 & 0 \\ 0 & 1+a & 0 \\ 0 & 0 & a(1+a) \end{pmatrix},$$

One also knows by Lemma 3.6 that

$$(4.29) \quad \mathbb{R} \oplus \text{Der}(\mathfrak{g}) = \left\{ \begin{pmatrix} x_{11} & 0 & 0 \\ x_{21} & x_{22} & 0 \\ x_{31} & 0 & x_{33} \end{pmatrix} \mid x_{11}, x_{21}, x_{22}, x_{31}, x_{33} \in \mathbb{R} \right\}.$$

Then we have  $\text{Ric}_{\langle, \rangle_0} \in \mathbb{R} \oplus \text{Der}(\mathfrak{g})$ , that is,  $\langle, \rangle_0$  is a solvsoliton.

We show the “only if”-part. Take any inner product  $\langle, \rangle$  on  $\mathfrak{g} = \mathfrak{r}_{3,a}$ , and assume that it is a solvsoliton. Proposition 4.8 yields that there exist  $\lambda \in \mathbb{R}$ ,  $k > 0$ , and an orthonormal basis  $\{x_1, x_2, x_3\}$  with respect to  $k\langle, \rangle$  such that the bracket relations are given by

$$(4.30) \quad [x_1, x_2] = x_2 + \lambda(a-1)x_3, \quad [x_1, x_3] = ax_3.$$

We can assume  $k = 1$  without loss of generality. Hence  $\{x_1, x_2, x_3\}$  is orthonormal. For simplicity of the notation, we put

$$(4.31) \quad T := (1/2)\lambda^2(a-1)^2.$$

Then, from Lemma 4.4, one obtains the matrix expressions of  $\text{Ric}_{\langle, \rangle}$  with respect to the basis  $\{x_1, x_2, x_3\}$  as follows:

$$(4.32) \quad \text{Ric}_{\langle, \rangle} = - \begin{pmatrix} 1+a^2+T & 0 & 0 \\ 0 & 1+a+T & \lambda a(a-1) \\ 0 & \lambda a(a-1) & a+a^2-T \end{pmatrix}.$$

On the other hand, Proposition 4.8 gives the matrix expression with respect to  $\{x_1, x_2, x_3\}$  as follows:

$$(4.33) \quad \mathbb{R} \oplus \text{Der}(\mathfrak{g}) = \left\{ \begin{pmatrix} x_{11} & 0 & 0 \\ x_{21} & x_{22} & 0 \\ x_{31} & \lambda(x_{33} - x_{22}) & x_{33} \end{pmatrix} \right\}.$$

We here claim that  $\lambda = 0$ . Recall that  $\langle, \rangle$  is a solvsoliton. Hence, by looking at the  $(2, 3)$ -component, we have

$$(4.34) \quad \lambda a(a-1) = 0.$$

Assume that  $\lambda \neq 0$ . Since  $-1 \leq a < 1$ , one has  $a = 0$ . Then, by looking at the  $(3, 2)$ -component, we have

$$(4.35) \quad 0 = \lambda(-T - (1+T)) = \lambda(-1 - \lambda^2) \neq 0.$$

This is a contradiction, which shows the claim.

Since  $\lambda = 0$ , one can see that  $\{e_1, e_2, e_3\}$  and  $\{x_1, x_2, x_3\}$  have the same bracket relations. Thus, a linear map  $F : \mathfrak{g} \rightarrow \mathfrak{g}$  satisfying

$$(4.36) \quad F(e_i) = x_i \quad (i = 1, 2, 3)$$

gives an isometry from  $(\mathfrak{g}, \langle, \rangle_0)$  onto  $(\mathfrak{g}, \langle, \rangle)$ . This proves  $[\langle, \rangle] = [\langle, \rangle_0]$ .  $\square$

**4.5. Case of  $\mathfrak{g} = \mathfrak{r}'_{3,a}$  ( $a \geq 0$ ).** In this subsection, we classify solvsolitons on  $\mathfrak{g} = \mathfrak{r}'_{3,a}$ . Throughout this subsection, we fix  $a$  satisfying  $a \geq 0$ . Recall that, for the canonical basis  $\{e_1, e_2, e_3\}$ , the bracket relations are given by

$$(4.37) \quad [e_1, e_2] = ae_2 - e_3, \quad [e_1, e_3] = e_2 + ae_3.$$

**Proposition 4.10.** *For every inner product  $\langle, \rangle$  on  $\mathfrak{g} = \mathfrak{r}'_{3,a}$ , there exist  $\lambda \geq 1$ ,  $k > 0$ , and an orthonormal basis  $\{x_1, x_2, x_3\}$  with respect to  $k\langle, \rangle$  such that the bracket relations are given by*

$$(4.38) \quad [x_1, x_2] = ax_2 - \lambda x_3, \quad [x_1, x_3] = (1/\lambda)x_2 + ax_3.$$

Furthermore, the matrix expression of  $\text{Der}(\mathfrak{g})$  with respect to  $\{x_1, x_2, x_3\}$  coincides with

$$\left\{ \begin{pmatrix} 0 & 0 & 0 \\ x_{21} & x_{22} & x_{23} \\ x_{31} & -\lambda^2 x_{23} & x_{22} \end{pmatrix} \mid x_{21}, x_{22}, x_{23}, x_{31} \in \mathbb{R} \right\}.$$

*Proof.* The proof is similar to that of Proposition 4.6. Take any inner product  $\langle, \rangle$  on  $\mathfrak{r}'_{3,a}$ . By Proposition 3.10, the following  $U$  is a set of representatives of  $\mathfrak{PM}$ :

$$(4.39) \quad U := \left\{ g_\lambda := \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1/\lambda \end{pmatrix} \mid \lambda \geq 1 \right\}.$$

By Theorem 4.5, there exist  $g_\lambda \in U$ ,  $k > 0$ , and  $\varphi \in \text{Aut}(\mathfrak{g})$  such that

$$(4.40) \quad (x_1, x_2, x_3) := (\varphi g_\lambda e_1, \varphi g_\lambda e_2, \varphi g_\lambda e_3)$$

forms an orthonormal basis with respect to  $k\langle, \rangle$ . We have only to check the bracket relations. By definition, we have

$$(4.41) \quad g_\lambda e_1 = e_1, \quad g_\lambda e_2 = e_2, \quad g_\lambda e_3 = (1/\lambda)e_3.$$

One can thus calculate that

$$(4.42) \quad \begin{aligned} [g_\lambda e_1, g_\lambda e_2] &= [e_1, e_2] = ae_2 - e_3 = ag_\lambda e_2 - \lambda g_\lambda e_3, \\ [g_\lambda e_1, g_\lambda e_3] &= [e_1, (1/\lambda)e_3] = (1/\lambda)(e_2 + ae_3) = (1/\lambda)g_\lambda e_2 + ag_\lambda e_3, \\ [g_\lambda e_2, g_\lambda e_3] &= [e_2, (1/\lambda)e_3] = 0. \end{aligned}$$

By applying  $\varphi \in \text{Aut}(\mathfrak{g})$ , one completes the proof of the first assertion. The second assertion follows from Lemma 3.6 and Theorem 4.5. In fact, one has

$$(4.43) \quad g_\lambda^{-1} \begin{pmatrix} 0 & 0 & 0 \\ x_{21} & x_{22} & -x_{23} \\ x_{31} & x_{23} & x_{22} \end{pmatrix} g_\lambda = \begin{pmatrix} 0 & 0 & 0 \\ x_{21} & x_{22} & -(1/\lambda)x_{23} \\ \lambda x_{31} & \lambda x_{23} & x_{22} \end{pmatrix}.$$

This completes the proof by changing  $\lambda x_{31}$  to  $x_{31}$ , and  $-(1/\lambda)x_{23}$  to  $x_{23}$ .  $\square$

By applying the Milnor-type theorem, Proposition 4.10, one can classify solvsolitons on  $\mathfrak{g} = \mathfrak{r}'_{3,a}$ . In fact, this admits a left-invariant Einstein metric. Recall that  $\langle, \rangle_0$  is the inner product so that the canonical basis  $\{e_1, e_2, e_3\}$  is orthonormal.

**Proposition 4.11.** *An inner product  $\langle, \rangle$  on  $\mathfrak{g} = \mathfrak{r}'_{3,a}$  is a solvsoliton if and only if  $[\langle, \rangle] = [\langle, \rangle_0]$ . In fact,  $\langle, \rangle_0$  is Einstein.*

*Proof.* The proof is similar to that of Proposition 4.9. First of all, we show the “if”-part. By Lemma 4.4, one knows

$$(4.44) \quad \text{Ric}_{\langle, \rangle_0} = - \begin{pmatrix} 2a^2 & 0 & 0 \\ 0 & 2a^2 & 0 \\ 0 & 0 & 2a^2 \end{pmatrix}.$$

This shows that  $\langle, \rangle_0$  is Einstein, and hence a solvsoliton.

We show the “only if”-part. Take any inner product  $\langle, \rangle$  on  $\mathfrak{g} = \mathfrak{r}'_{3,a}$ , and assume that it is a solvsoliton. Proposition 4.10 yields that there exist  $\lambda \geq 1$ ,  $k > 0$ , and an orthonormal basis  $\{x_1, x_2, x_3\}$  with respect to  $k\langle, \rangle$  such that the bracket relations are given by

$$(4.45) \quad [x_1, x_2] = ax_2 - \lambda x_3, \quad [x_1, x_3] = (1/\lambda)x_2 + ax_3.$$

We can assume  $k = 1$  without loss of generality. Hence  $\{x_1, x_2, x_3\}$  is orthonormal. For simplicity of the notation, we put

$$(4.46) \quad S := \lambda - (1/\lambda).$$

Then, from Lemma 4.4, one obtains the matrix expressions of  $\text{Ric}_{\langle, \rangle}$  with respect to the basis  $\{x_1, x_2, x_3\}$  as follows:

$$(4.47) \quad \text{Ric}_{\langle, \rangle} = -\frac{1}{2} \begin{pmatrix} 4a^2 + S^2 & 0 & 0 \\ 0 & 4a^2 + (\lambda^2 - (1/\lambda)^2) & -2aS \\ 0 & -2aS & 4a^2 - (\lambda^2 - (1/\lambda)^2) \end{pmatrix}.$$

On the other hand, Proposition 4.10 gives the matrix expression with respect to  $\{x_1, x_2, x_3\}$  as follows:

$$(4.48) \quad \mathbb{R} \oplus \text{Der}(\mathfrak{g}) = \left\{ \begin{pmatrix} x_{11} & 0 & 0 \\ x_{21} & x_{22} & x_{23} \\ x_{31} & -\lambda^2 x_{23} & x_{22} \end{pmatrix} \right\}.$$

We here show that  $\lambda = 1$ . Recall that  $\langle, \rangle$  is a solvsoliton. Hence, By looking at the  $(2, 2)$  and  $(3, 3)$ -components, we have

$$(4.49) \quad 4a^2 + (\lambda^2 - (1/\lambda)^2) = 4a^2 - (\lambda^2 - (1/\lambda)^2).$$

Since  $\lambda \geq 1$ , this yields that

$$(4.50) \quad \lambda = 1.$$

Since  $\lambda = 1$ , one can see that  $\{e_1, e_2, e_3\}$  and  $\{x_1, x_2, x_3\}$  have the same bracket relations. Thus, a linear map  $F : \mathfrak{g} \rightarrow \mathfrak{g}$  satisfying

$$(4.51) \quad F(e_i) = x_i \quad (i = 1, 2, 3)$$

gives an isometry from  $(\mathfrak{g}, \langle, \rangle_0)$  onto  $(\mathfrak{g}, \langle, \rangle)$ . This proves  $[\langle, \rangle] = [\langle, \rangle_0]$ .  $\square$

## 5. THE MINIMALITY OF THE CORRESPONDING SUBMANIFOLDS

In this section, we study the actions of  $\mathbb{R}^\times \text{Aut}(\mathfrak{g})$  and examine the minimality of its orbits, the corresponding submanifolds to left-invariant metrics. After some necessary preliminaries in Subsection 5.1, we study the cases of  $\mathfrak{g} = \mathfrak{r}_3$ ,  $\mathfrak{r}_{3,a}$  ( $-1 \leq a < 1$ ), and  $\mathfrak{r}'_{3,a}$  ( $a \geq 0$ ) in Subsections 5.2, 5.3, and 5.4, respectively. We have only to study these cases, since the actions of  $\mathbb{R}^\times \text{Aut}(\mathfrak{g})$  is transitive for the remaining cases  $\mathfrak{g} = \mathfrak{h}_3$  and  $\mathfrak{r}_{3,1}$ .

**5.1. Preliminary.** In this subsection, we review some of the standard facts on reductive homogeneous spaces and homogeneous submanifolds. We refer to [1, 3].

Let  $U/K$  be a reductive homogeneous space with a reductive decomposition

$$(5.1) \quad \mathfrak{u} = \mathfrak{k} \oplus \mathfrak{m}.$$

As in Subsection 2.1, denote by  $\pi : U \rightarrow U/K$  the natural projection, and by  $o := \pi(e)$  the origin of  $U/K$ . We identify  $\mathfrak{m}$  with the tangent space  $T_o(U/K)$  at  $o$  by

$$(5.2) \quad d\pi_e|_{\mathfrak{m}} : \mathfrak{m} \rightarrow T_o(U/K).$$

In the following, we equip a  $U$ -invariant Riemannian metric  $g$  on  $U/K$ .

We here recall a formula for the Levi-Civita connection  $\nabla$  of  $g$ . For any  $X \in \mathfrak{u}$ , we define the fundamental vector field  $X^*$  on  $U/K$  by

$$(5.3) \quad X_p^* = \frac{d}{dt}(\text{expt}tX) \cdot p|_{t=0} \quad (\text{for } p \in U/K).$$

Let  $X, Y, Z \in \mathfrak{u}$ . Then one knows

$$(5.4) \quad X_o^* = d\pi_e(X),$$

$$(5.5) \quad [X^*, Y^*] = -[X, Y]^*,$$

$$(5.6) \quad 2g(\nabla_{X^*}Y^*, Z^*) = g([X^*, Y^*], Z^*) + g([X^*, Z^*], Y^*) + g(X^*, [Y^*, Z^*]).$$

We now consider homogeneous submanifolds in  $(U/K, g)$ . Let  $U'$  be a Lie subgroup of  $U$ , and consider the orbit  $U'.o$  through the origin  $o$ . Let  $\mathfrak{u}'$  be the

Lie algebra of  $U'$ , and denote by  $\langle, \rangle$  the inner product on  $\mathfrak{m}$  corresponding to  $g$ . We define

$$(5.7) \quad \mathfrak{m}' := d\pi_e(\mathfrak{u}') \cong T_o(U'.o).$$

Denote by  $\mathfrak{m} \ominus \mathfrak{m}'$  the orthogonal complement of  $\mathfrak{m}'$  in  $\mathfrak{m}$  with respect to  $\langle, \rangle$ . Then, the second fundamental form  $h : \mathfrak{m}' \times \mathfrak{m}' \rightarrow \mathfrak{m} \ominus \mathfrak{m}'$  of  $U'.o$  at  $o$  is defined by

$$(5.8) \quad h(X_o^*, Y_o^*) := (\nabla_{X^*} Y^* - \nabla'_{X^*} Y^*)_o \quad (\text{for } X, Y \in \mathfrak{u}'),$$

where  $\nabla'$  is the Levi-Civita connection of  $U'.o$  with respect to the induced metric. Take  $Z \in \mathfrak{u}$  satisfying  $Z_o^* \in \mathfrak{m} \ominus \mathfrak{m}'$ . From (5.5) and (5.6), one obtains

$$(5.9) \quad 2\langle h(X_o^*, Y_o^*), Z_o^* \rangle = \langle [Z, X]_o^*, Y_o^* \rangle + \langle X_o^*, [Z, Y]_o^* \rangle.$$

The mean curvature vector of  $U'.o$  at  $o$  is defined by

$$(5.10) \quad H := -(1/k)\text{tr}(h) = -(1/k) \sum h(E'_i, E'_i),$$

where  $\{E'_i\}$  is an orthonormal basis of  $\mathfrak{m}'$ , and  $k$  is the dimension of  $U'.o$ . We call  $U'.o$  *minimal* if its mean curvature vector is equal to zero.

In the following subsections, we will calculate the mean curvature vectors of the corresponding submanifolds in  $\text{GL}_3(\mathbb{R})/\text{O}(3)$  with respect to the natural Riemannian metric (see Section 2). We will frequently use

$$(5.11) \quad d\pi_e : \mathfrak{gl}_3(\mathbb{R}) \rightarrow \text{sym}(3) : X \mapsto (1/2)(X + {}^t X).$$

**5.2. Case of  $\mathfrak{g} = \mathfrak{r}_3$ .** In this subsection, we study the case of  $\mathfrak{g} = \mathfrak{r}_3$ . First of all, by direct calculations, one has

$$(5.12) \quad \text{Aut}(\mathfrak{g}) = \left\{ \begin{pmatrix} 1 & 0 & 0 \\ x_{21} & x_{22} & 0 \\ x_{31} & x_{32} & x_{22} \end{pmatrix} \mid x_{22} \neq 0 \right\}.$$

This easily yields that

$$(5.13) \quad \mathbb{R}^\times \text{Aut}(\mathfrak{g}) = \left\{ \begin{pmatrix} x_{11} & 0 & 0 \\ x_{21} & x_{22} & 0 \\ x_{31} & x_{32} & x_{22} \end{pmatrix} \mid x_{11}, x_{22} \neq 0 \right\}.$$

From Proposition 3.8, the expression of  $\mathfrak{PM}$  is given as follows:

$$(5.14) \quad \mathfrak{PM} = \left\{ [g_\lambda \cdot \langle, \rangle_o] \mid g_\lambda = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1/\lambda \end{pmatrix}, \lambda > 0 \right\}.$$

For any  $\lambda > 0$ , one can see that

$$(5.15) \quad g_\lambda^{-1}(\mathbb{R}^\times \text{Aut}(\mathfrak{g}))g_\lambda = \mathbb{R}^\times \text{Aut}(\mathfrak{g}).$$

This is an easy observation, but very important to get the following lemma.

**Lemma 5.1.** *Let  $\mathfrak{g} = \mathfrak{r}_3$ . Then the action of  $\mathbb{R}^\times \text{Aut}(\mathfrak{g})$  is of cohomogeneity one, and all orbits are isometrically congruent to each other.*

*Proof.* In order to prove the action of  $\mathbb{R}^\times \text{Aut}(\mathfrak{g})$  is of cohomogeneity one, it is enough to show that the orbit through  $\langle, \rangle_0$  is of codimension one. From (5.13), it is easy to see that

$$(5.16) \quad \dim \mathbb{R}^\times \text{Aut}(\mathfrak{g}) = 5, \quad \dim(\mathbb{R}^\times \text{Aut}(\mathfrak{g}) \cap \text{O}(3)) = 0.$$

Therefore  $\mathbb{R}^\times \text{Aut}(\mathfrak{g}).\langle, \rangle_0$  has dimension 5. This completes the proof, since the ambient space  $\text{GL}_3(\mathbb{R})/\text{O}(3)$  has dimension 6.

Next we prove that all orbits are isometrically congruent to each other. Take any  $\langle, \rangle$  and  $\langle, \rangle'$ . By Proposition 3.8, there exist  $\lambda, \lambda' > 0$  such that

$$(5.17) \quad \mathbb{R}^\times \text{Aut}(\mathfrak{g}).\langle, \rangle = \mathbb{R}^\times \text{Aut}(\mathfrak{g}).(g_\lambda.\langle, \rangle_0),$$

$$(5.18) \quad \mathbb{R}^\times \text{Aut}(\mathfrak{g}).\langle, \rangle' = \mathbb{R}^\times \text{Aut}(\mathfrak{g}).(g_{\lambda'}.\langle, \rangle_0).$$

We put  $\mu := \lambda'/\lambda > 0$ , and take  $g_\mu \in \text{GL}_3(\mathbb{R})$ . Then (5.15) yields that

$$(5.19) \quad \begin{aligned} g_\mu \mathbb{R}^\times \text{Aut}(\mathfrak{g}).\langle, \rangle &= g_\mu \mathbb{R}^\times \text{Aut}(\mathfrak{g}).(g_\lambda.\langle, \rangle_0) \\ &= g_\mu(g_\mu^{-1} \mathbb{R}^\times \text{Aut}(\mathfrak{g})g_\mu).(g_\lambda.\langle, \rangle_0) \\ &= \mathbb{R}^\times \text{Aut}(\mathfrak{g}).(g_{\lambda'}.\langle, \rangle_0) \\ &= \mathbb{R}^\times \text{Aut}(\mathfrak{g}).\langle, \rangle'. \end{aligned}$$

Thus  $g_\mu$  maps the first orbit onto the second one, which completes the proof.  $\square$

We refer to [12] for actions all of whose orbits are isometrically congruent to each other. Our idea of the proof of Lemma 5.1 comes from the arguments in [12].

**Proposition 5.2.** *Let  $\mathfrak{g} = \mathfrak{r}_3$ . Then the action of  $\mathbb{R}^\times \text{Aut}(\mathfrak{g})$  on  $\text{GL}_3(\mathbb{R})/\text{O}(3)$  has no minimal orbits.*

*Proof.* Consider the action of  $\mathbb{R}^\times \text{Aut}(\mathfrak{g})$  on  $\text{GL}_3(\mathbb{R})/\text{O}(3)$ . From Lemma 5.1, all orbits are isometrically congruent to each other. Thus it is sufficient to prove that the orbit through the origin  $\langle, \rangle_0$  is not minimal. We calculate the mean curvature vector of  $\mathbb{R}^\times \text{Aut}(\mathfrak{g}).\langle, \rangle_0$ . One can see from (3.12) that

$$(5.20) \quad \mathbf{u}' := \mathbb{R} \oplus \text{Der}(\mathfrak{g}) = \left\{ \begin{pmatrix} x_{11} & 0 & 0 \\ x_{21} & x_{22} & 0 \\ x_{31} & x_{32} & x_{22} \end{pmatrix} \right\},$$

$$(5.21) \quad \mathbf{m}' := d\pi_e(\mathbf{u}') = \left\{ \begin{pmatrix} x_{11} & x_{21} & x_{31} \\ x_{21} & x_{22} & x_{32} \\ x_{31} & x_{32} & x_{22} \end{pmatrix} \right\}.$$

Let us denote by  $E_{ij}$  the matrix whose  $(i, j)$ -entry is 1 and others are 0. We define a basis  $\{X_1, \dots, X_5\}$  of  $\mathfrak{u}'$  by

$$(5.22) \quad \begin{aligned} X_1 &:= E_{11}, & X_2 &:= (1/\sqrt{2})(E_{22} + E_{33}), \\ X_3 &:= \sqrt{2}E_{21}, & X_4 &:= \sqrt{2}E_{31}, & X_5 &:= \sqrt{2}E_{32}. \end{aligned}$$

Furthermore we put

$$(5.23) \quad X'_i := (X_i)_o^* = (1/2)(X_i + {}^t X_i), \quad A := (1/\sqrt{2})(E_{22} - E_{33}).$$

Then  $\{X'_1, \dots, X'_5\}$  is an orthonormal basis of  $\mathfrak{m}'$ , and  $\{A\}$  is an orthonormal basis of  $\mathfrak{m} \ominus \mathfrak{m}'$ . Recall that the mean curvature vector  $H$  is given by

$$(5.24) \quad H = -(1/5) \sum h(X'_i, X'_i), \quad \langle h(X'_i, X'_i), A \rangle = \langle [A, X'_i]_o^*, (X'_i)_o^* \rangle.$$

The bracket products  $[A, X_i]$  satisfy

$$(5.25) \quad [A, X_1] = [A, X_2] = 0, \quad [A, X_3] = E_{21}, \quad [A, X_4] = -E_{31}, \quad [A, X_5] = -2E_{32}.$$

Therefore, one has

$$(5.26) \quad \begin{aligned} \langle [A, X_3]_o^*, (X_3)_o^* \rangle &= \langle (1/2)(E_{21} + E_{12}), (\sqrt{2}/2)(E_{21} + E_{12}) \rangle = \sqrt{2}/2, \\ \langle [A, X_4]_o^*, (X_4)_o^* \rangle &= \langle (1/2)(-E_{31} - E_{13}), (\sqrt{2}/2)(E_{31} + E_{13}) \rangle = -\sqrt{2}/2, \\ \langle [A, X_5]_o^*, (X_5)_o^* \rangle &= \langle (-E_{32} - E_{23}), (\sqrt{2}/2)(E_{32} + E_{23}) \rangle = -\sqrt{2}. \end{aligned}$$

This yields that

$$(5.27) \quad H = (\sqrt{2}/5)A \neq 0.$$

Therefore,  $\mathbb{R}^\times \text{Aut}(\mathfrak{g}) \cdot \langle, \rangle_0$  is not minimal, which completes the proof.  $\square$

**5.3. Case of  $\mathfrak{g} = \mathfrak{r}_{3,a}$  ( $-1 \leq a < 1$ ).** In this subsection, we study the case of  $\mathfrak{g} = \mathfrak{r}_{3,a}$ . Throughout this subsection, we fix  $a$  satisfying  $-1 \leq a < 1$ . Recall that, from Lemma 3.6, one has

$$(5.28) \quad \mathbb{R} \oplus \text{Der}(\mathfrak{g}) = \left\{ \begin{pmatrix} x_{11} & 0 & 0 \\ x_{21} & x_{22} & 0 \\ x_{31} & 0 & x_{33} \end{pmatrix} \mid x_{11}, x_{21}, x_{22}, x_{31}, x_{33} \in \mathbb{R} \right\}.$$

The expression of  $\mathfrak{PM}$  is given in Proposition 3.9 as follows:

$$(5.29) \quad \mathfrak{PM} = \left\{ [g_\lambda \cdot \langle, \rangle_0] \mid g_\lambda = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & \lambda & 1 \end{pmatrix}, \lambda \in \mathbb{R} \right\}.$$

**Proposition 5.3.** *Let  $\mathfrak{g} = \mathfrak{r}_{3,a}$ . Then, we have*

- (1) *The action of  $\mathbb{R}^\times \text{Aut}(\mathfrak{g})$  is of cohomogeneity one, and all orbits are hypersurfaces.*
- (2)  *$\mathbb{R}^\times \text{Aut}(\mathfrak{g}) \cdot \langle, \rangle_0$  is the unique minimal orbit.*



*Proof.* Take any  $\langle, \rangle$ . In order to prove (1), we show that  $\mathbb{R}^\times \text{Aut}(\mathfrak{g}).\langle, \rangle$  is a hypersurface, that is, has dimension 5. From the expression of  $\mathfrak{PM}$ , there exists  $\lambda \in \mathbb{R}$  such that

$$(5.30) \quad \mathbb{R}^\times \text{Aut}(\mathfrak{g}).\langle, \rangle = \mathbb{R}^\times \text{Aut}(\mathfrak{g}).(g_\lambda.\langle, \rangle_0).$$

Let us define

$$(5.31) \quad U' := g_\lambda^{-1}(\mathbb{R}^\times \text{Aut}(\mathfrak{g}))g_\lambda.$$

Then, since  $g_\lambda^{-1}$  gives an isometry, one has an isometric congruence

$$(5.32) \quad \mathbb{R}^\times \text{Aut}(\mathfrak{g}).(g_\lambda.\langle, \rangle_0) \cong U'.\langle, \rangle_0.$$

Let  $\mathfrak{u}'$  be the Lie algebra of  $U'$ . From the expression of  $\mathbb{R} \oplus \text{Der}(\mathfrak{g})$ , one can directly calculate

$$(5.33) \quad \mathfrak{u}' = g_\lambda^{-1}(\mathbb{R} \oplus \text{Der}(\mathfrak{g}))g_\lambda = \left\{ \begin{pmatrix} x_{11} & 0 & 0 \\ x_{21} & x_{22} & 0 \\ x_{31} & -\lambda(x_{22} - x_{33}) & x_{33} \end{pmatrix} \right\}.$$

Thus it is easy to check that

$$(5.34) \quad \dim \mathfrak{u}' = 5, \quad \dim(\mathfrak{u}' \cap \mathfrak{o}(3)) = 0.$$

Therefore  $U'.\langle, \rangle_0$  has dimension 5, which completes the proof of (1).

In order to prove (2), we have only to show that  $U'.\langle, \rangle$  is minimal if and only if  $\lambda = 0$ . From (5.33), one can see that

$$(5.35) \quad \mathfrak{m}' := d\pi_e(\mathfrak{u}') = \left\{ \begin{pmatrix} x_{11} & x_{21} & x_{31} \\ x_{21} & x_{22} & (-\lambda/2)(x_{22} - x_{33}) \\ x_{31} & (-\lambda/2)(x_{22} - x_{33}) & x_{33} \end{pmatrix} \right\}.$$

We define a basis  $\{X_1, \dots, X_5\}$  of  $\mathfrak{u}'$  by

$$(5.36) \quad \begin{aligned} X_1 &:= E_{11}, & X_2 &:= (1/\sqrt{2})(E_{22} + E_{33}), \\ X_3 &:= (1/\sqrt{2(1+\lambda^2)})(E_{22} - E_{33} - 2\lambda E_{32}), \\ X_4 &:= \sqrt{2}E_{21}, & X_5 &:= \sqrt{2}E_{31}. \end{aligned}$$

Let us put

$$(5.37) \quad X'_i := (X_i)_o^* = (1/2)(X_i + {}^t X_i).$$

Then  $\{X'_1, \dots, X'_5\}$  is an orthonormal basis of  $\mathfrak{m}'$ . Furthermore, define

$$(5.38) \quad A := (1/\sqrt{2(1+\lambda^2)})(-\lambda E_{22} + \lambda E_{33} - 2E_{32}), \quad A' := (A)_o^*.$$

Then  $\{A'\}$  is an orthonormal basis of  $\mathfrak{m} \ominus \mathfrak{m}'$ . Recall that the mean curvature vector  $H$  is given by

$$(5.39) \quad H = -(1/5) \sum h(X'_i, X'_i), \quad \langle h(X'_i, X'_i), A' \rangle = \langle [A, X_i]_o^*, (X_i)_o^* \rangle.$$

The bracket products  $[A, X_i]$  satisfy

$$(5.40) \quad \begin{aligned} [A, X_1] &= [A, X_2] = 0, & [A, X_3] &= -2E_{32}, \\ [A, X_4] &= -(1/\sqrt{1+\lambda^2})(\lambda E_{21} + 2E_{31}), & [A, X_5] &= (\lambda/\sqrt{1+\lambda^2})E_{31}. \end{aligned}$$

Hence, one has

$$(5.41) \quad \begin{aligned} \langle [A, X_3]_o^*, (X_3)_o^* \rangle &= 2\lambda/\sqrt{2(1+\lambda^2)}, \\ \langle [A, X_4]_o^*, (X_4)_o^* \rangle &= -\lambda/\sqrt{2(1+\lambda^2)}, \\ \langle [A, X_5]_o^*, (X_5)_o^* \rangle &= \lambda/\sqrt{2(1+\lambda^2)}. \end{aligned}$$

This yields that

$$(5.42) \quad H = -2\lambda/(5\sqrt{2(1+\lambda^2)})A'.$$

Therefore,  $H = 0$  if and only if  $\lambda = 0$ . This completes the proof of (2).  $\square$

**5.4. Case of  $\mathfrak{g} = \mathfrak{r}'_{3,a}$  ( $a \geq 0$ ).** In this subsection, we study the case of  $\mathfrak{g} = \mathfrak{r}'_{3,a}$ . Throughout this subsection, we fix  $a$  satisfying  $a \geq 0$ . Recall that, from Lemma 3.6,  $\mathbb{R} \oplus \text{Der}(\mathfrak{g})$  is given by

$$(5.43) \quad \mathbb{R} \oplus \text{Der}(\mathfrak{g}) = \left\{ \begin{pmatrix} x_{11} & 0 & 0 \\ x_{21} & x_{22} & -x_{23} \\ x_{31} & x_{23} & x_{22} \end{pmatrix} \right\}.$$

The expression of  $\mathfrak{PM}$  is given in Proposition 3.10 as follows:

$$(5.44) \quad \mathfrak{PM} = \left\{ [g_\lambda \cdot \langle, \rangle_0] \mid g_\lambda = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1/\lambda \end{pmatrix}, \lambda \geq 1 \right\}.$$

**Proposition 5.4.** *Let  $\mathfrak{g} = \mathfrak{r}'_{3,a}$ . Then, we have*

- (1) *The action of  $\mathbb{R}^\times \text{Aut}(\mathfrak{g})$  is of cohomogeneity one, and  $\mathbb{R}^\times \text{Aut}(\mathfrak{g}) \cdot \langle, \rangle_0$  is the unique singular orbit.*
- (2)  *$\mathbb{R}^\times \text{Aut}(\mathfrak{g}) \cdot \langle, \rangle_0$  is the unique minimal orbit.*

*Proof.* Take any  $\langle, \rangle$ . In order to prove (1), we calculate the dimensions of the orbits. From the expression of  $\mathfrak{PM}$ , there exists  $\lambda \geq 1$  such that

$$(5.45) \quad \mathbb{R}^\times \text{Aut}(\mathfrak{g}) \cdot \langle, \rangle = \mathbb{R}^\times \text{Aut}(\mathfrak{g}) \cdot (g_\lambda \cdot \langle, \rangle_0).$$

Let us denote by

$$(5.46) \quad U' := g_\lambda^{-1}(\mathbb{R}^\times \text{Aut}(\mathfrak{g}))g_\lambda.$$

Then, since  $g_\lambda^{-1}$  gives an isometry, one has an isometric congruence

$$(5.47) \quad \mathbb{R}^\times \text{Aut}(\mathfrak{g}) \cdot (g_\lambda \cdot \langle, \rangle_0) \cong U' \cdot \langle, \rangle_0.$$

Let  $\mathfrak{u}'$  be the Lie algebra of  $U'$ . From the expression of  $\mathbb{R} \oplus \text{Der}(\mathfrak{g})$ , a direct calculation yields that

$$(5.48) \quad \mathfrak{u}' = g_\lambda^{-1}(\mathbb{R} \oplus \text{Der}(\mathfrak{g}))g_\lambda = \left\{ \begin{pmatrix} x_{11} & 0 & 0 \\ x_{21} & x_{22} & x_{23} \\ x_{31} & -\lambda^2 x_{23} & x_{33} \end{pmatrix} \right\}.$$

Then we have

$$(5.49) \quad \dim \mathfrak{u}' = 5, \quad \dim(\mathfrak{u}' \cap \mathfrak{o}(3)) = \begin{cases} 0 & (\text{for } \lambda > 1), \\ 1 & (\text{for } \lambda = 1). \end{cases}$$

This yields that the orbit corresponding to  $\lambda = 1$  is the unique singular orbit, (which has codimension two). This completes the proof of (1).

We show (2). It is known that every singular orbit of a cohomogeneity one action is minimal (see [22]). Then we have only to show that  $U' \cdot \langle, \rangle$  is not minimal if  $\lambda > 1$ . From now on assume that  $\lambda > 1$ . From (5.48), one can see that

$$(5.50) \quad \mathfrak{m}' := d\pi_e(\mathfrak{u}') = \left\{ \begin{pmatrix} x_{11} & x_{21} & x_{31} \\ x_{21} & x_{22} & ((1 - \lambda^2)/2)x_{23} \\ x_{31} & ((1 - \lambda^2)/2)x_{23} & x_{33} \end{pmatrix} \right\}.$$

We define a basis  $\{X_1, \dots, X_5\}$  of  $\mathfrak{u}'$  by

$$(5.51) \quad \begin{aligned} X_1 &:= E_{11}, & X_2 &:= (1/\sqrt{2})(E_{22} + E_{33}), & X_3 &:= \sqrt{2}E_{21}, \\ X_4 &:= \sqrt{2}E_{31}, & X_5 &:= (\sqrt{2}/(1 - \lambda^2))(E_{23} - \lambda^2 E_{32}). \end{aligned}$$

Furthermore we put

$$(5.52) \quad X'_i := (X_i)_o^* = (1/2)(X_i + {}^t X_i), \quad A := (1/\sqrt{2})(E_{22} - E_{33}).$$

Then  $\{X'_1, \dots, X'_5\}$  is an orthonormal basis of  $\mathfrak{m}'$ , and  $\{A\}$  is an orthonormal basis of  $\mathfrak{m} \ominus \mathfrak{m}'$ . Recall that the mean curvature vector  $H$  is given by

$$(5.53) \quad H = -(1/5) \sum h(X'_i, X'_i), \quad \langle h(X'_i, X'_i), A \rangle = \langle [A, X'_i]^*, (X'_i)_o^* \rangle.$$

The bracket products  $[A, X_i]$  satisfy

$$(5.54) \quad \begin{aligned} [A, X_1] &= [A, X_2] = 0, & [A, X_3] &= E_{21}, & [A, X_4] &= -E_{31}, \\ [A, X_5] &= (2/(1 - \lambda^2))(E_{23} + \lambda^2 E_{32}). \end{aligned}$$

Hence, one has

$$(5.55) \quad \begin{aligned} \langle [A, X_3]^*, (X_3)_o^* \rangle &= 1/\sqrt{2}, \\ \langle [A, X_4]^*, (X_4)_o^* \rangle &= -1/\sqrt{2}, \\ \langle [A, X_5]^*, (X_5)_o^* \rangle &= \sqrt{2}(1 + \lambda^2)/(1 - \lambda^2). \end{aligned}$$

This yields that

$$(5.56) \quad H = -\sqrt{2}(1 + \lambda^2)/(5(1 - \lambda^2))A \neq 0.$$

which completes the proof.  $\square$

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